City of Los Angeles Responsible Banking Investment Monitoring Program For Investment Banks

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

| Contact Information: | | | |
|--|---|--|---|
| Jefferies LLC | | | |
| Name of Financial Institution | | | |
| 11100 Santa Monica Blvd., 12th Floor | Los Angeles | CA | 90025 |
| Street Address | City | State | Zip Code |
| John Gust, Senior Vice President | • | | |
| Contact Person Name and Title | | | |
| 310-575-5207 | jgust@jefferies | .com | |
| Telephone No. | Email Address | | |
| Did your firm make monetary Yes No If yes, please of Yes No Volume Did your firm provide any school Yes No Volume | donations to charitate complete the attached | ole programs wi form. | |
| a. How many scholars b. What was the total 3. Does your firm have internal designated as "women owned Yes No If yes, please page of the company of the co | value of the awarded policies regarding util ," "minority owned," | ization of subco or "disabled" bu policies. | ontractors which are |
| CERTIFICATION | ONDER PENALTT | JK PLKJUK I | |
| I certify under penalty of perjury that I form and the responses contained in the have provided full and complete answeresponse to this form is true and accurate | he form and on all the ers to each question, ate to the best of my kr | e attachments. I and that all info nowledge and be | further certify that I brmation provided in |
| John Gust Sanior Vice President | John De | | 6/25/2018 |

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO cao.debt@lacity.org.

Print Name, Title

Signature |

Date

Office of the City Administrative Officer 200 North Main St. Room 1500 Los Angeles, CA 90012 Attention: Debt Management Group

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

| Name of Charitable Organization | Туре | Amount (\$) |
|--|----------------------------|-------------|
| ADOPT A HIGHWAY LITTER REMOVAL SERVICE _ | Environment | 13,100.00 |
| MERCY FOR ANIMALS | Animal Rights | 125.00 |
| UNION RESCUE MISSION | Homelessness | 750.00 |
| ANGEL CITY PIT BULLS | Animal Rights | 13.33 |
| JEWISH FEDERATION COUNCIL | Faith-Based | 1,500.00 |
| UCLA UNDERGRADUATE BUSINESS SOCIETY | Education & Literacy | 300.00 |
| CANCER SUPPORT COMMUNITY | Cancer/Aids/Other Diseases | 25.00 |